

AF 3622  
PATENT

Attorney Docket No. TFUND-04809

60  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICEIn re Application of: William C. O'Neil, Jr. *et al.*

Serial No.: 09/703,562

Group No.: 3622

Filed: 11/01/2000

Examiner: D. Champagne

Entitled: **Methods And Systems For Applying Rebates To Higher Education****SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT TRANSMITTAL**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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APR 29 2004

GROUP 3600

## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: April 22, 2004By: 

Mary Ellen Waite

Sir or Madam:

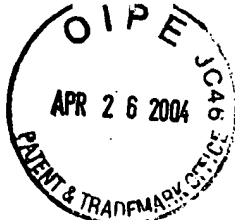
Enclosed please find a Supplemental Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

Applicant's believe no fee is required, but if the Commissioner deems other, the Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Dated: April 22, 2004  
J. Mitchell Jones

Registration No. 44,174

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101 Howard Street, Suite 350  
San Francisco, California 94105  
608/218-6900



**PATENT**  
Attorney Docket No. **TFUND-04809**

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To Higher Education**

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT**

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on **April 22, 2004**.

By: 

Mary Ellen Waite

Sir or Madam:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

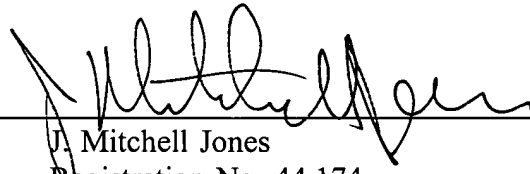
Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 6,631,358 on 10/07/03 to Ogilvie. This patent relates generally to tools and techniques for directing funds on behalf of a consumer to a specified account as a beneficial side-effect of a transaction that is primarily directed toward another goal, such as the purchase of merchandise or services. This patent does not teach providing at least one merchant offering a rebate. In fact, this patent establishes that a

consumer, not a merchant, determines the amount saved into an account. This is an amount provided by a customer for a customer's account.

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated: April 22, 2004

  
\_\_\_\_\_  
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FORM PTO-1449  
Commerce  
(Modified)

U.S. Department of  
Patent and Trademark Office

Attorney Docket No.: TFUND-04809

Serial No.: 09/703,562

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
(Use Several Sheets If Necessary)

Applicant: William C. O'Neil, Jr. *et al.*

(37 CFR § 1.98(b))

Filing Date: 11/01/2000

Group Art Unit:

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	1	6,631,358	10/07/03	Ogilvie.	705	39	12/27/99

Examiner:

Date Considered:

**EXAMINER:** Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**GROUP 3600**